# Database search

#### **Gabriella Trucco**

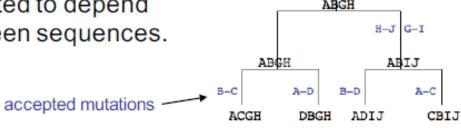
Email: gabriella.trucco@unimi.it

### DB search

- Databases created to store the large quantity of sequence data
- Need for efficient programs to be used in queries of these databases
- query sequence that must be compared to all those already in the database, in search of local similarities
- The quadratic complexity: methods unsuitable for searching large databases
- To speed the search, novel and faster methods based on heuristics have been developed

- When comparing protein sequences, simple scoring schemes are not enough.
  - Amino acids have biochemical properties that influence their relative replacebility in an evolutionary scenario.
- Use of scoring scheme that reflects these probabilities
- Direct observation of actual substitution rates
- PAM matrices: the acronym PAM stands for *Point Accepted Mutations*, or *Percent of Accepted Mutations*

The substitution score is expected to depend on the rate of divergence between sequences.



The **PAM matrices** derived by Dayhoff (1978):

- are based on evolutionary distances.
- have been obtained from carefully aligned closely related protein sequences (71 gapless alignments of sequences having at least 85% similarity).



M. Dayhoff

Dayhoff *et al.* (1978). A model of evolutionary change in proteins. In *Atlas of Protein Sequence and Structure*, vol. 5, suppl. 3, 345–352. National Biomedical Research Foundation, Silver Spring, MD, 1978.

#### PAM = Percent (or Point) Accepted Mutation

The PAM matrices are **series of scoring matrices**, each reflecting a certain level of divergence:

PAM = unit of evolution (1 PAM = 1 mutation/100 amino acid)

- PAM1 proteins with an evolutionary distance of 1% mutation/position
- PAM50 idem for 50% mutations/position
- PAM250 250% mutations/position (a position could mutate several times)

Dayhoff *et al.* (1978). A model of evolutionary change in proteins. In *Atlas of Protein Sequence and Structure*, vol. 5, suppl. 3, 345–352. National Biomedical Research Foundation, Silver Spring, MD, 1978.

- Choose an evolutionary distance at which to compare the sequences.
- These matrices are functions of this distance.
  - 250-PAM matrix: comparing sequences that are 250 units of evolution apart.
- For each evolutionary distance we have
  - a probability transition matrix M and
  - a scores matrix S.
- The scores matrix is obtained from the probability matrix

- Ingredients for building the 1-PAM matrix M:
  - A list of accepted mutations
  - The probabilities of occurrence  $p_a$  for each amino acid a
- Accepted mutation: mutation that occurred and was positively selected by the environment; that is, it did not cause the demise of the particular organism where it occurred
- Align two homologous proteins from different species
- Each position where the sequences differ will give us an accepted mutation.
- We consider these accepted mutations as undirected events

- 1-PAM matrix: consider immediate mutations,  $a \rightarrow b$ , not mediated ones like  $a \rightarrow c \rightarrow b$ .
- The probabilities of occurrence can be estimated by computing the relative frequency of occurrence of amino acids over a large protein sequence set.

$$\sum_{a} p_a = 1$$

## Example

To illustrate how the PAM substitution matrices have been derived, we will consider the following artificial ungapped aligned sequences:

ACGH

DBGH

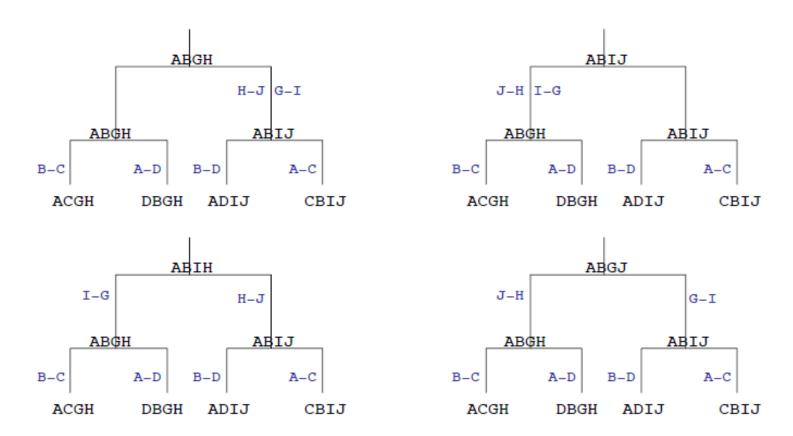
ADIJ

CBIJ

Example taken from Borodovsky & Ekisheva (2007) Problems and Solutions in Biological sequence analysis. *Cambridge Univ Press*.

### **Derivation of PAM matrices**

#### Phylogenetic trees (maximum parsimony)



Here are represented the four more parsimonious (minimum of substitutions) phylogenetic trees for the alignment given above.

### Derivation of PAM matrices

#### Matrix of accepted point mutation counts (A)

	Α	В	С	D	G	Н	I	J
Α		0	4	4	0	0	0	0
В	0		4	4	0	0	0	0
С	4	4		0	0	0	0	0
D	4	4	0		0	0	0	0
G	0	0	0	0		0	4	0
Н	0	0	0	0	0		0	4
_	0	0	0	0	4	0		0
J	0	0	0	0	0	4	0	

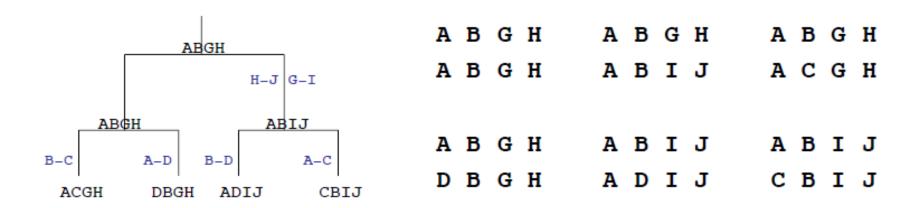
For each pair of different amino acids (*i,j*), the total number  $a_{ij}$  of substitutions from *i* to *j* along the edges of the phylogenetic tree is calculated.

(they are indicated in blue on the previous slide)

### **Derivation of PAM matrices**

Each edge of a given tree is associated with the ungapped alignment of the two sequences connected by this edge.

Thus, any tree shown above generates 6 alignments. For example the first phylogenetic tree generates the following alignments:



Those alignments can be used to assess the "relative mutability" of each amino acid.

- $f_{ab}$ : number of times the mutation a <-> b occurs
- Undirected mutations  $\rightarrow f_{ab} = f_{ba}$
- Total number of mutations in which a was involved:  $f_a = \sum_{b \neq a} f_{ab}$
- Total number of amino acid occurrences involved in mutations:  $f = \sum_{a} f_{a}$ :
  - f is twice the total number of mutations
- 1-PAM transition probability matrix M: 20 x 20 matrix with  $M_{ab}$  being the probability of amino acid a changing into amino acid b.

- a and b may be the same, in which case we have the probability of a remaining unchanged during this particular evolutionary interval.
- Computation of  $M_{aa}$  is done based on the *relative mutability* of amino acid a, defined as

 $m_a = \frac{100fp_a}{100fp_a}$ 

- Mutability of an amino acid: probability that the given amino acid will change in the evolutionary period of interest.
- The probability of a remaining unchanged is the complementary probability

$$M_{aa}=1-m_a.$$

## Relative mutability

The relative mutability is defined by the ratio of the total number of times that amino acid j has changed in all the pair-wise alignments (in our case 6x4=24 alignments) to the number of times that j has occurred in these alignments, i.e.

$$m_j = \frac{number\ of\ changes\ of\ j}{number\ of\ occurrences\ of\ j}$$

Relative amino acid mutability values  $m_i$  for our example

Amino acid	Α	В	I	Н	G	J	С	D
Changes (substitutions)	8	8	4	4	4	4	8	8
Frequency of occurrence	40	40	24	24	24	24	8	8
Relative mutability $m_j$	0.2	0.2	0.167	0.167	0.167	0.167	1	1

The relative mutability accounts for the fact that the different amino acids have different mutation rates. This is thus the probability to mutate.

- Probability of a changing into b: computed as the product of the conditional probability that a will change into b, given that a changed, times the probability of a changing.
- We estimate the conditional probability as the ratio between the a <-> b mutations and the total number of mutations involving a.

$$M_{ab} = \Pr(a \to b)$$
  
=  $\Pr(a \to b \mid a \text{ changed}) \Pr(a \text{ changed})$   
=  $\frac{f_{ab}}{f_a} m_a$ .

Use of a simplified model of protein evolution.

#### Mutational probability matrix derived by Dayhoff for the 20 amino acids

	Α	R	N	D	С	Q	Е	G	Н	-1	L	K	M	F	Р	S	Т	W	Υ	V
Α	9867	2	9	10	3	8	17	21	2	6	4	2	6	2	22	35	32	0	2	18
R	1	9913	1	0	1	10	0	0	10	3	1	19	4	1	4	6	1	8	0	1
N	4	1	9822	36	0	4	6	6	21	3	1	13	0	1	2	20	9	1	4	1
D	6	0	42	9859	0	6	53	6	4	1	0	3	0	0	1	5	3	0	0	1
С	1	1	0	0	9973	0	0	0	1	1	0	0	0	0	1	5	1	0	3	2
Q	3	9	4	5	0	9876	27	1	23	1	3	6	4	0	6	2	2	0	0	1
Е	10	0	7	56	0	35	9865	4	2	3	1	4	1	0	3	4	2	0	1	2
G	21	1	12	11	1	3	7	9935	1	0	1	2	1	1	3	21	3	0	0	5
Н	1	8	18	3	1	20	1	0	9912	0	1	1	0	2	3	1	1	1	4	1
-	2	2	3	1	2	1	2	0	0	9872	9	2	12	7	0	1	7	0	1	33
L	3	1	3	0	0	6	1	1	4	22	9947	2	45	13	3	1	3	4	2	15
K	2	37	25	6	0	12	7	2	2	4	1	9926	20	0	3	8	11	0	1	1
M	1	1	0	0	0	2	0	0	0	5	8	4	9874	1	0	1	2	0	0	4
F	1	1	1	0	0	0	0	1	2	8	6	0	4	9946	0	2	1	3	28	0
Р	13	5	2	1	1	8	3	2	5	1	2	2	1	1	9926	12	4	0	0	2
S	28	11	34	7	11	4	6	16	2	2	1	7	4	3	17	9840	38	5	2	2
Т	22	2	13	4	1	3	2	2	1	11	2	8	6	1	5	32	9871	0	2	9
W	0	2	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0	9976	1	0
Υ	1	0	3	0	3	0	1	0	4	1	1	0	0	21	0	1	1	2	9945	1
٧	13	2	1	1	3	2	2	3	3	57	11	1	17	1	3	2	10	0	2	9901

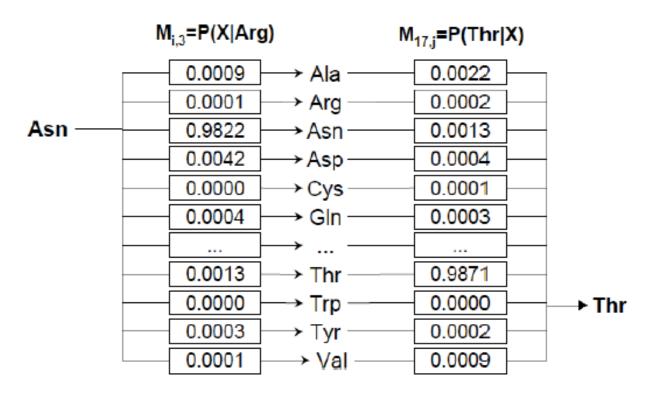
Source: Dayhoff, 1978

For clarity, the values have been multiplied by 10000

This matrix corresponds to an evolution time period giving 1 mutation/100 amino acids, and is referred to as the **PAM1 matrix**.

- What is the probability that a will change into b in two PAM units of evolution?
  - In the first unit period a changes into any amino acid c with probability  $M_{ac}$
  - Then c changes into b in the second period with probability  $M_{cb}$
  - The final figure is nothing more than  $M_{ab}^2$  that is, an entry in the square of M.
- $M^k$ : transition probability matrix for a period of k units of evolution.

### From PAM1 to PAM2



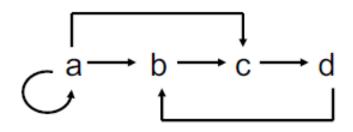
 $P(Asn \rightarrow Thr) = P(Asn \rightarrow Ala \rightarrow Thr) + P(Asn \rightarrow Arg \rightarrow Thr) + ... + P(Asn \rightarrow Val \rightarrow Thr)$ = (0.0009)(0.0001) + (0.0001)(0.0002) + ... + (0.0001)(0.009)line 3 of PAM1 column 17 of PAM1

=> Matrix product: PAM2 = PAM1 x PAM1

Source: J. van Helden

### From PAM1 to PAM2, PAM100, PAM250, ...

#### **Remark** (from graph theory)



	а	р	С	d
а	1	1	1	0
b	0	0	1	0
C	0	0	0	1
đ	0	1	0	0

Matrix **Q** indicates the number of paths going from one node to another in 1 step

	а	b	С	d
а	1	1	2	1
b	0	0	0	1
С	0	1	0	1
d	0	1	1	1

Matrix Q<sup>2</sup> indicates the number of paths going from one node to another in 2 steps

	а	b	С	d
а				
b				
С				
d				

Matrix **Q**<sup>n</sup> indicates the number of paths going from one node to another in *n* steps

Source: J. van Helden

- Scoring matrices: the entries are related to the ratio between two probabilities, namely, the probability that a pair is a mutation as opposed to being a random occurrence. This is called a *likelihood* or *odds* ratio.
- Let us then compute this ratio for two amino acids a and b. Suppose that we paired a with b in a given alignment. Taking the point of view of a, the probability that b is there in the other sequence due to a mutation is  $M_{ab}$ .
- There is a chance of  $P_b$  for a random occurrence of b. The ratio is then

$$\frac{M_{ab}}{p_b}$$

• Scoring matrix for *k* PAM distance:

$$score_k(a, b) = 10 \log_{10} \frac{M_{ab}^k}{p_b}$$

- Sometimes we have two sequences and no information on their evolutionary distance. Recommended approach: compare the sequences using two or three matrices that cover a wide range, for instance, 40 PAM, 120 PAM, and 250 PAM.
- In general, low PAM numbers are good for finding short, strong local similarities, while high PAM numbers detect long, weak ones.

#### PAM250 derived by Dayhoff for the 20 amino acids

	Α	R	N	D	С	Q	E	G	н			K	М	F	Р	S	Т	W	Υ	V
Α	13	6	9	9	5	8	9	12	6	8	6	7	7	4	11	11	11	2	4	9
R	3	17		3	2		3	2	6	3	2	9	4	7	4		3	7	2	2
	-		4	_		5	_	-	_	_		_	-	1	7	4	-	•		_
N	4	4	6	7	2	5	6	4	6	3	2	5	3	2	4	5	4	2	3	3
D	5	4	8	11	1	7	10	5	6	3	2	5	3	1	4	5	5	1	2	3
С	2	1	1	1	52	1	1	2	2	2	1	1	1	1	2	3	2	1	4	2
Q	3	5	5	6	1	10	7	3	7	2	3	5	3	1	4	3	3	1	2	3
E	5	4	7	11	1	9	12	5	6	3	2	5	3	1	4	5	5	1	2	3
G	12	5	10	10	4	7	9	27	5	5	4	6	5	3	8	11	9	2	3	7
н	2	5	5	4	2	7	4	2	15	2	2	3	2	2	3	3	2	2	3	2
-	3	2	2	2	2	2	2	2	2	10	6	2	6	5	2	3	4	1	3	9
L	6	4	4	3	2	6	4	3	5	15	34	4	20	13	5	4	6	6	7	13
K	6	18	10	8	2	10	8	5	8	5	4	24	9	2	6	8	8	4	3	5
M	1	1	1	1	0	1	1	1	1	2	3	2	6	2	1	1	1	1	1	2
F	2	1	2	1	1	1	1	1	3	5	6	1	4	32	1	2	2	4	20	3
Р	7	5	5	4	3	5	4	5	5	3	3	4	3	2	20	6	5	1	2	4
S	9	6	8	7	7	6	7	9	6	5	4	7	5	3	9	10	9	4	4	6
Т	8	5	6	6	4	5	5	6	4	6	4	6	5	3	6	8	11	2	3	6
w	0	2	0	0	0	0	0	0	1	0	1	0	0	1	0	1	0	55	1	0
Υ	1	1	2	1	3	1	1	1	3	2	2	1	2	15	1	2	2	3	31	2
٧	7	4	4	4	4	4	4	4	5	4	15	10	4	10	5	5	5	72	4	17

Source: Dayhoff, 1978

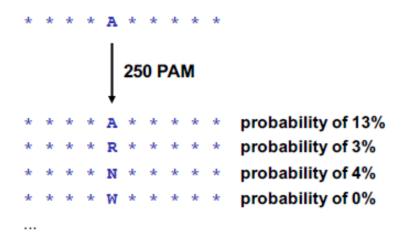
For clarity, the values have been multiplied by 100

This matrix corresponds to an evolution time period giving 250 mutation/100 amino acids (i.e. an evolutionary distance of 250 PAM), and is referred to as the **PAM250 matrix**.

#### Interpretation of the PAM250 matrix

		D.	NI.	D.	
	Α	R	N	D	
Α	13	6	9	9	
R	3	17	4	3	
N	4	4	6	7	
D	5	4	8	11	
С	2	1	1	1	
Q	3	5	5	6	
E	5	4	7	11	
G	12	5	10	10	
Н	2	5	5	4	
-	3	2	2	2	
L	6	4	4	3	
K	6	18	10	8	
М	1	1	1	1	
F	2	1	2	1	
Р	7	5	5	4	
S	9	6	8	7	
T	8	5	6	6	
w	0	2	0	0	
Υ	1	1	2	1	
٧	7	4	4	4	

In comparing 2 sequences at this evolutionary distance (250 PAM), there is:



Source: Dayhoff, 1978

## Local alignment

- Local alignment seeks similar segments of unspecified length from the 2 sequences being compared.
- Rigorous method: local dynamic programming, time is proportional to the product of lengths of sequences it compares.
- BLAST: linear time heuristic algorithm.
- Basic Local Alignment Search Tool a family of most popular sequence search program including: Basic BLAST, Gapped BLAST, Psi -BLAST
- Main idea (basic BLAST): Homologous sequences are likely to contain a short high scoring similarity region a hit
- Each hit gives a seed that BLAST tries to extend on both sides

- BLAST programs among the most frequently used to search sequence databases worldwide.
- BLAST: Basic Local Alignment Search Tool.
- Database: collection of sequences
- BLAST returns a list of *high-scoring segment pairs* between the query sequence and sequences in the database.
- A segment is a substring of a sequence.
- Given two sequences, a *segment pair* between them is a pair of segments of the same length, one from each sequence

- Because the substrings in a segment pair have the same length, we can form a gapless alignment with them.
- This alignment can be scored using a matrix of substitution scores.
- No gap-penalty functions are needed, as there are no gaps.
- The score thus obtained is by definition the score of the segment pair.

## Example

```
Total: -9
```

- Given a query sequence, BLAST returns all segment pairs between the query and a database sequence with scores above a certain threshold S.
- The parameter S can be set by the user, although a default value is provided in most servers that run the program.
- Maximum segment pair (MSP) between two sequences: segment pair of maximum score.
  - Measure of sequence similarity and can be computed precisely by dynamic programming.
  - BLAST estimates this number much faster than any dynamic programming method.
  - *locally* maximal segment pairs: those that cannot be improved further by extending or shortening them.

- Finds certain "seeds," which are very short segment pairs between the query and a database sequence.
- Seeds extended in both directions, without including gaps, until the maximum possible score for extensions of this particular seed is reached.
- Not all extensions are looked at. The program has a criterion to stop extensions when the score falls below a carefully computed limit.

We may think of BLAST as a three-step algorithmic procedure, undertaking the following tasks.

- 1. Compile list of high-scoring strings (or words, in BLAST jargon).
- 2. Search for hits each hit gives a seed.
- 3. Extend seeds.

## Step 1: find high scoring words

- For every word x of length w in Q make a list of words that when aligned to x score at least T.
- Example: Let x=AIV then score for AIA is 5+5+0 (dropped) and for AII 5+5+4 (taken)
- Number of words in the list depends on w and T, and is much less than 20<sup>3</sup> (typically about 50)

### Step 1

#### MVRERKCILCHIVYGSKKEMDEHMRSMLHHRELENLKGRDIS

```
Query word, W=3 for proteins 1
(W=11 for nucleotides)
                      Word Score (BL-62)
                      GSK 15
                      GAK 12
                      GNK 12
                      GTK 12
                      GSR 12
                      GDK 11
                      GQK 11
                      GEK 11
                      GGK 11
                      GKK 11
                      GSQ 11
                      GSE 11
```

## Step 2 – Finding hits

Scan database for exact matching with the list of words compiled in step1 using techniques as hash table (requires preprocessing of a database)

## Step 2

```
MVRERKCILCHIVYGSKKEMDEHMRSMLHHRELENLKGRDIS

Query word, W=3

Word Score (BL-62)

GSK 15 GAK 12 GNK 12

GTK 12 GSR 12

GDK 11 GQK

11 GEK 11

GGK 11 GKK 11

GSQ 11 GSE 11

Threshold for hits, T=11
```

```
Query 1 MVRERKCILCHIVYGSKKEMDEHMRSMLHHRELENLKGRD 40
MVRERKCILCHI++GS+KEMDEHMRSMLHHRELENLKGR+
Sbjct 1 MVRERKCILCHIIHGSEKEMDEHMRSMLHHRELENLKGRE 40
```

## Step 3: Extending hits

- Parameter: X (controlled by a user)
- Extend the hits in both ways along diagonal (ungapped alignment) until score drops more than X relative to the best score yet attained.
- Return the score highest scoring segment pair (HSP).

